

APPENDIX XII

Serial No.: 09/955,064

Docket No.: 49933US032

Request for Continued Examination (RCE) Transmittal and Information

Disclosure Statement filed with the U.S. Patent and Trademark Office on June 26, 2003.

Request for Continued Examination (RCE) Transmittal

Mail Stop RCE
 Commissioner for Patents
 P.O. Box 1450
 Alexandria, VA 22313-1450



First Named Inventor: Hoopman, Timothy L.
 Application No.: 09/955604 Group Art Unit: 1722
 Filed: September 19, 2001 Examiner: Joseph Leyson
 Title: TOOLS TO MANUFACTURE ABRASIVE ARTICLES
This is a Request for Continued Examination (RCE) under 37 CFR § 1.114 of the above-identified application.

1. Submission required under 37 CFR § 1.114

- a. ☐ Previously submitted
- i. ☐ Consider the amendment(s)/reply under 37 CFR § 1.116 previously filed on
 (Any unentered amendment(s) referred to above will be entered)
- ii. ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on
- iii. ☐ Other
- b. ☒ Enclosed
- i. ☐ Amendment/Reply
- ii. ☐ Affidavit(s)/Declaration(s)
- iii. ☒ Information Disclosure Statement (IDS)/Supplemental IDS
- iv. ☐ Other

2. ☐ A Request for Extension of Time is being filed concurrently**3. Fees** (The RCE fee under 37 CFR § 1.17(e) is required by 37 CFR § 1.114 when the RCE is filed.)

- a. ☒ The Director is hereby authorized to charge the following fees or credit any overpayments to:
 Deposit Account No. 13-3723. A duplicate copy of this letter for fees processing is enclosed.
- i. ☒ RCE fee required under 37 CFR § 1.17(e)
- ii. ☐ Other

Respectfully submitted,

Date

June 26, 2003

By:

[Signature]
 Gregory D. Allen, Reg. No.: 35,048
 Telephone No.: (651) 736-0641

Office of Intellectual Property Counsel
 3M Innovative Properties Company
 Facsimile No.: (651) 736-3833

Certificate of Mailing or Transmission	
I certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, or facsimile transmitted to the U.S. Patent and Trademark Office on the date indicated below:	
Sent to Facsimile No.:	Signature: <i>Lisa Hengen</i>
Date: <i>June 27, 2003</i>	Printed Name: Lisa Hengen

☒ Customer Number for
 Correspondence Address:

**32692**

PATENT TRADEMARK OFFICE



32692

PATENT TRADEMARK OFFICE

Patent
Case No.: 49933US032

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named Inventor: HOOPMAN, TIMOTHY L.
Application No.: 09/955604 Group Art Unit: 1722
Filed: September 19, 2001 Examiner: Joseph Leyson
Title: TOOLS TO MANUFACTURE ABRASIVE ARTICLES

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on:

June 27, 2003
Date

Lisa Hengen
Signed by: Lisa Hengen

Dear Sir:

Pursuant to 37 CFR §§ 1.56, 1.97, and 1.98, enclosed is a completed Form PTO-1449, citing references submitted for consideration by the Examiner. A copy of each cited reference is also enclosed. It is respectfully requested that the Examiner initial and return the enclosed Form PTO-1449 to indicate that each reference has been considered.

It is believed that no fee is due; however, in the event a fee is required, please charge the fee to Deposit Account No. 13-3723.

Respectfully submitted,

Date

June 26, 2003

By:

[Signature]

Gregory D. Allen, Reg. No.: 35,048

Telephone No.: (651) 736-0641

Office of Intellectual Property Counsel
3M Innovative Properties Company
Facsimile No.: 651-736-3833

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Page 1 of 1

Filing Date

September 19, 2001

First Name and Inventor

Hoopman, Timothy L.

Art Unit

1722

Examiner Name

Joseph Leyson

Attorney Case Number

49933US032

U.S. Patent Documents

Exam. Init.*	Cite No.	Document Number	Publication Date or Issue Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Doc. Number-(Kind Code if Known)			
	A1	US-			
	A2	US-			
	A3	US-			
	A4	US-			
	A5	US-			
	A6	US-			
	A7	US-			

Foreign Patent Documents

Exam. Init.*	Cite No.	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Translation (Check if yes)
		Ctry. Code	Number-KindCode (If known)				
	B1	JP	57-59054	09/24/1980			X
	B2	JP	7-164330	06/27/1995			*
	B3	JP	3-73276	03/28/1991			**
	B4						

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

Exam. Init.*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published
	C1	

* JP 7-164330 claims priority to USSN 120,297 which is the same application from which U.S. Patent 5,489,235 issued. U.S. Patent No. 5,489,235 was cited in a previous Information Disclosure Statement dated June 7, 2000.

** JP 3-73276 claims priority to USSN 347,663 which is the same application from which U.S. Patent 5,014,468 issued. U.S. Patent No. 5,014,468 was cited in a previous Information Disclosure Statement dated June 7, 2000.

*Examiner:

Date Considered:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered: Include copy of this form with next communication to applicant.

Information Disclosure Statement